

Use this form to transfer funds directly from your existing IRA to your NuView IRA.

## 1 PERSONAL INFORMATION

<b>Name</b> <i>(Your name as it appears in your plan)</i> <input type="checkbox"/> Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> Mrs. <input type="checkbox"/> Dr. _____	<b>Social Security Number</b>
<b>Legal Address</b>	<b>City, State, Zip</b>
<b>Phone</b>	<b>NuView Account Number</b> <i>(if applicable)</i>

## 2 CURRENT CUSTODIAN/TRUSTEE

<b>Name of Custodian/Trustee</b>		<b>Account Number</b>
<b>Phone Number</b>	<b>Is this an Inherited Account?</b> <input type="checkbox"/> No <input type="checkbox"/> Yes Spouse Non-spouse	<b>Type of Account</b> <input type="checkbox"/> Traditional <input type="checkbox"/> Roth <input type="checkbox"/> SEP <input type="checkbox"/> Qualified Plan <input type="checkbox"/> HSA <input type="checkbox"/> ESA <input type="checkbox"/> SIMPLE

## 3 TRANSFER DETAILS

<input type="checkbox"/> <b>Option One: Cash Transfer</b> <input type="checkbox"/> Complete (liquidate and send all proceeds) <input type="checkbox"/> Partial - Send ONLY \$ _____  Please send cash via: <input type="checkbox"/> Check <input type="checkbox"/> Wire	<input type="checkbox"/> <b>Option 2: In-Kind Transfer</b> <input type="checkbox"/> Complete (Send all assets listed below and cash) <input type="checkbox"/> Partial - Send ONLY the assets listed below  Please send cash via: <input type="checkbox"/> Check <input type="checkbox"/> Wire						
<b>How would you like this request sent to your current custodian?</b> <input type="checkbox"/> Fax <input type="checkbox"/> Overnight Mail (\$30) <input type="checkbox"/> Certified Mail (\$20)							
<table border="1" style="width: 100%;"> <thead> <tr> <th style="width: 80%;">Asset Description</th> <th style="width: 20%;">Amount</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> </tr> </tbody> </table>		Asset Description	Amount				
Asset Description	Amount						

## 4 SIGNATURE AND ACKNOWLEDGEMENT

1. I hereby agree to the terms and conditions set forth in this Account Asset Transfer Authorization and acknowledge having established a self directed account through execution of the account application.

2. Custodial accountholder confirms that this purchase or transfer does not include any illegal or impermissible investments under South Dakota or Federal law, including, but not limited to, holdings of marijuana or other illegal substances, illegal gambling, or illegal artifacts.

3. I qualify for the account transfer of assets listed in the Asset Liquidation above and authorize such transactions.

4. I understand the rules and conditions applicable to an Account Transfer.

Your Signature: \_\_\_\_\_

Date: \_\_\_\_\_

(Medallion Guarantee Stamp)

## ACCEPTANCE OF RECEIVING CUSTODIAN

Pursuant to a limited written delegation NuView Trust Company as Custodian ("Custodian"), has authorized NuView IRA, Inc. to sign this form on the Custodian's behalf to verify the Custodian's acceptance of the transfer, rollover or direct rollover described above and agreement to apply the proceeds upon their receipt, to the Account established by NuView IRA, Inc on your behalf. NuView Trust Company ASSUMES NO TRUST OR FIDUCIARY OBLIGATIONS TO YOU AS IT HAS NO INVESTMENT CONTROL OVER YOUR FUNDS AND ACTS ONLY AS A CUSTODIAN OF YOUR ACCOUNT.

NuView IRA, Inc on behalf of Custodian, NuView Trust Company

By: \_\_\_\_\_

Date: \_\_\_\_\_

Account #: \_\_\_\_\_

**Type of Account**  
 Traditional  Roth  SEP  SIMPLE  HSA  ESA  
 Qualified Plan  Beneficiary/Inherited